



Reg. No. : .....

Name : .....

**Third Semester B.Com. Degree Examination, November 2014**  
**(Career Related First Degree Programme under CBCSS)**  
**Commerce with Computer Application**  
**Core Course – X**  
**CC 1344 : FINANCIAL SERVICES**  
**(2013 Admission)**

Time : 3 Hours

Max. Marks : 80

**SECTION – A**

Answer **all** questions in **one** word to maximum of **two** sentences. **Each** question carries **one** mark.

1. Define financial services.
2. What is a portfolio ?
3. \_\_\_\_\_ is the process whereby saving are directly flowing to the borrowers.
4. Define Indian Financial System.
5. Merchant banks are also called
6. What is an IPO ?
7. What is an open ended scheme ?
8. What is a voyage policy ?
9. What is balloon leasing ?
10. What is startup financing ?

**(10×1=10 Marks)**

P.T.O.

## SECTION – B

Answer **any eight** questions from the following **not exceeding one** paragraph. **Each** question carries **2** marks.

11. What do you mean by re-insurance ?
12. What are the parameters of the best housing loan ?
13. Distinguish between factoring and bill discounting.
14. What are the different forms of a consumer loan ?
15. Give any four fund based financial services.
16. What is screen based trading ?
17. What do you mean by syndication of loan ?
18. Explain the calculation of NAV.
19. What do you mean by Growth Fund ?
20. Distinguish between leasing and hire purchase.
21. Explain the indemnity principle of insurance.
22. Explain forfeiting mechanism.

**(8×2=16 Marks)**

## SECTION – C

Answer **any six** questions **not exceeding 120** words. **Each** question carries **4** marks.

23. What are the services covered by factoring ?
24. Distinguish between fund based and fee based financial services.
25. Briefly explain the functions of credit rating agencies in India.
26. Give a brief note on health insurance.
27. Distinguish between financial lease and operating lease.
28. Explain the role of lead managers to an issue.

29. Explain hire purchase system of credit.
30. What is financial inclusion ? Explain.
31. Differentiate between open ended scheme and close ended scheme of mutual funds. **(6×4=24 Marks)**

**SECTION – D**

**Answer any two questions not exceeding 4 pages. Each question carries 15 marks.**

32. What are the important features of financial services ? Explain briefly different types of financial services
33. Explain the various merchant banking activities.
34. Explain the important advantages and disadvantages of mutual fund investments .
35. Explain the advantages and disadvantages of lease financing. **(2×15=30 Marks)**
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Reg. No. : .....

Name : .....

**Third Semester B.B.A. Degree Examination, November 2014**  
**Career Related First Degree Programme Under CBCSS**  
**2(b) Core Course BM 1342 : COMPANY LAW**  
**(2013 Admission)**

Time : 3 Hours

Max. Marks : 80

**SECTION – A**

I. Answer **all** questions in **one** or **two** sentences. **Each** question carries **one** mark.

**(10×1=10 Marks)**

- 1) What is a Statutory Company ?
- 2) Define Foreign Company.
- 3) What do you understand by Perpetual Succession ?
- 4) Explain the term 'Promotion'.
- 5) What is a Memorandum of Association ?
- 6) Define Articles of Association of a Company.
- 7) What is a Deemed Prospectus ?
- 8) Define 'Under Writing'.
- 9) What is an Extra Ordinary General Meeting ?
- 10) Distinguish between Winding up and Dissolution.



## SECTION – B

II. Answer any 8 questions. Each question carries 2 marks. (8×2=16 Marks)

- 11) What are the different modes of winding up of a Company ?
- 12) What are the powers of Liquidator in Voluntary winding up ?
- 13) What are the contents of 'Notice of a Meeting' ?
- 14) Give the meaning of 'Quorum' and state the quorum required for  
a) Public Company and Private Company.
- 15) Distinguish between Motion and Resolution.
- 16) What do you understand by Qualification Shares ?
- 17) What are the different methods of acquiring membership in a Company ?
- 18) Distinguish between Equity Shares and Preference Shares.
- 19) What is meant by Minimum Subscription ?
- 20) Explain the Doctrine of 'Ultra Vires'.
- 21) State any four advantages of Incorporation.
- 22) What are Government Companies ?

## SECTION – C

III. Answer any six questions. Each question carries four marks. (6×4=24 Marks)

- 23) Distinguish between a Company and a Partnership.
- 24) Distinguish between Private Company and Public Company.
- 25) List out the contents of Articles of Association.
- 26) Describe the liability for misleading statements in a prospectus.
- 27) What are the provisions of the Company's Act regarding the minutes ?



- 28) Explain the different kinds of resolutions passed at the general meeting of a Company.
- 29) Discuss the duties of Chairman of a meeting.
- 30) Explain "Just and equitable" grounds for winding up.
- 31) What are the general powers of the Tribunal in compulsory winding up ?

**SECTION – D**

**IV. Answer any two questions. Each question carries 15 marks. (2x15=30 Marks)**

- 32) What is Compulsory Winding Up ? Discuss the circumstances for compulsory winding up and the consequences of winding up by the Tribunal.
  - 33) Discuss in detail the main features of a registered Company.
  - 34) State and explain the different stages in the formation of a Company.
  - 35) Distinguish between Articles of Association and Memorandum of Association stating their major contents.
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